

Recap

Therm. cycles, efficiency, Carnot & Otto

Grand-canonical partition function

$$Z_g = \sum_i e^{-\beta(E_i - \mu N_i)} \quad p_i = \frac{1}{Z_g} e^{-\beta(E_i - \mu N_i)}$$

Derived quantities from Z_g

Entropy $S(T, \mu) = - \sum_i p_i \log p_i$

Internal energy $\langle E \rangle(T, \mu) = \sum_i p_i E_i = \frac{1}{Z_g} \sum_i E_i e^{-\beta(E_i - \mu N_i)}$

Particle number $\langle N \rangle(T, \mu) = \sum_i p_i N_i = \frac{1}{Z_g} \sum_i N_i e^{-\beta(E_i - \mu N_i)}$

All related to derivatives

of grand-canonical potential $\Phi(T, \mu)$

$$\Phi = -T \log Z_g \quad Z_g = e^{-\Phi/T}$$

$$p_i = \frac{1}{Z_g} e^{-\beta(E_i - \mu N_i)} = e^{(\Phi - E_i + \mu N_i)/T}$$

(in therm. equil)

"Landau free energy"

$$\frac{\partial \Phi}{\partial \mu} = -\frac{1}{\beta} \frac{\partial}{\partial \mu} \log Z_g = -\frac{1}{\beta Z_g} \sum_i \frac{\partial}{\partial \mu} e^{-\beta(E_i - \mu N_i)} = -\frac{1}{Z_g} \sum_i N_i e^{-\beta(E_i - \mu N_i)}$$

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$$= - \sum_i p_i N_i = -\langle N \rangle$$

$$\frac{\partial \Phi}{\partial T} = - \log Z_g - T \frac{\partial}{\partial T} \log Z_g \quad \frac{\partial}{\partial T} = -\beta^2 \frac{\partial}{\partial \beta}$$

$$\hookrightarrow -\frac{\beta^2}{Z_g} \sum_i \frac{\partial}{\partial \beta} e^{-\beta(E_i - \mu N_i)}$$

$$= +\beta^2 \sum_i p_i (E_i - \mu N_i)$$

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$$\frac{\partial \Phi}{\partial T} = \frac{\Phi}{T} - T \left(\frac{\langle E \rangle - \mu \langle N \rangle}{T^2} \right) = \frac{\Phi - \langle E \rangle + \mu \langle N \rangle}{T}$$

$$S = - \sum_i p_i \log p_i = - \sum_i p_i (-\log Z_g - \beta E_i + \beta \mu N_i)$$

$$= \log Z_g + \beta \langle E \rangle - \beta \mu \langle N \rangle$$

$$= \frac{-\Phi + \langle E \rangle - \mu \langle N \rangle}{T} = - \frac{\partial \Phi}{\partial T}$$

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$$\langle N \rangle = - \frac{\partial \Phi}{\partial \mu}$$

$$S = - \frac{\partial \Phi}{\partial T}$$

$$\langle E \rangle = - T^2 \frac{\partial}{\partial T} \left(\frac{\Phi}{T} \right) - \mu \langle N \rangle$$

$$\Phi = -TS + \langle E \rangle - \mu \langle N \rangle$$

Generalized First law

Recall canonical $dE = TdS - PdV = Q + W$

Now have possible dN as well

$$\text{Expand } dS = \left. \frac{\partial S}{\partial E} \right|_{V,N} dE + \left. \frac{\partial S}{\partial V} \right|_{E,N} dV + \left. \frac{\partial S}{\partial N} \right|_{V,E} dN$$

$$= \frac{1}{T} dE + \left. \frac{\partial S}{\partial V} \right|_{E,N} dV + \frac{-\mu}{T} dN$$

Fixed $N \rightarrow$ canonical

$$\text{Fixed } E \rightarrow dE = TdS - PdV = 0$$

$$\left. \frac{\partial S}{\partial V} \right|_{E,N} = \frac{P}{T}$$

Generalized therm. identity

$$dE = TdS - PdV + \mu dN$$

"chemical work"

(lots of info)

Fix N and V : $dE = TdS \rightarrow \frac{1}{T} = \left. \frac{\partial S}{\partial E} \right|_{N,V}$ ✓

Fix N and S : $dE = -PdV \rightarrow P = - \left. \frac{\partial E}{\partial V} \right|_{N,S}$ ✓

Fix S and V $dE = \mu dN \rightarrow \mu = \left. \frac{\partial E}{\partial N} \right|_{S,V}$

Recall $\Delta N > 0$ with fixed E naturally increases S

\rightarrow reduce E to fix S ($T > 0$)

$\rightarrow \mu < 0$ for natural systems ✓

Quantum statistics

Recall we regulated ideal gases

by allowed only discrete momenta \rightarrow energy levels

Assuming $\hbar \ll L\sqrt{mT}$ sum \rightarrow integral over continuous energies

Now retain discrete energy levels

↳ gives classical (non-quantum) Maxwell-Boltzmann statistics

& will reveal what is needed for true quantum statistics

Label energy levels as ϵ_l with energy E_l

Can have $E_m = E_n$ for different ϵ_m and ϵ_n , $m \neq n$
 $\vec{p} = (1, 0, 0) \frac{\hbar m}{L}$ vs. $(0, 0, 1) \frac{\hbar m}{L}$

"Degenerate" energy levels

Label $E_m \leq E_n$ for $m < n$, $E_l \geq E_0 \geq 0$

Organize micro-states by N_i

$$Z_g = \sum_i e^{-\beta(E_i - \mu N_i)} = \sum_{N_i=0} e^{-\beta E_i} + \sum_j e^{-\beta(E_j - \mu)} + \sum_k e^{-\beta(E_k - 2\mu)} + \dots$$

$$= Z_0 + e^{\beta\mu} Z_1 + e^{2\beta\mu} Z_2 + \dots$$

$$= \sum_{N=0}^{\infty} (e^{\beta\mu})^N Z_N$$

$\underbrace{\hspace{10em}}_{\text{"fugacity" } \zeta = e^{\beta\mu} = e^{\mu/T}}$
 $\underbrace{\hspace{10em}}_{N\text{-particle canonical part. Func.}}$

Recall $Z_N = \frac{1}{N!} Z_1^N$ for ideal systems (non-interacting particles)
 \(\underbrace{\hspace{10em}}_{\text{correcting for over-counting indist'able particles}}

$$Z_g = \sum_{N=0}^{\infty} \frac{1}{N!} (e^{\beta\mu} Z_1)^N = \exp[e^{\beta\mu} Z_1]$$

\hookrightarrow energy levels

Single particle can occupy each energy level once

$$Z_1 = \sum_{l=0}^L e^{-\beta E_l}$$

Gives Maxwell-Boltzmann g.c. part. Func.

$$Z_g = \exp\left[e^{\beta\mu} \sum_l e^{-\beta E_l}\right] = \exp\left[\sum_l e^{-\beta(E_l - \mu)}\right] = \prod_l \exp\left[e^{-\beta(E_l - \mu)}\right]$$

Hidden (classical) assumption:

All particles occupy different energy level $\rightarrow Z_N = \frac{1}{N!} Z_1^N$

Illustration: Set $N=2$ $L=4$ all with $E_{p,l}=0$

$$Z_1 = \sum_{l=0}^4 e^{-\beta E_l} = \sum_l 1 = 5$$

Z_N counts micro-states

Same as counting ways place N balls in $L+1$ boxes



Let $N=2$ particles be dist'able



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$$Z_D(N=2) = 5^2 = 25$$

For indist'able $\rightarrow Z_I = \frac{1}{2} 25 = 12.5$ ways of putting balls in boxes
cannot be correct

All 25 micro-states in Z_D

ROBOO	OROBO	BORO	OBORO	20000
RBOOO	OROOB	BR000	OB00R	02000
ROOBO	00RBO	B00RO	00BRO	00200
ROO0B	00ROB	B000R	00BOR	00020
ORBOO	000RB	0BR00	000BR	00002

No $\frac{1}{N!}$

$$\frac{1}{N!} = \frac{1}{2} \checkmark$$

Classical continuous energies \rightarrow guarantees different energy levels

Let occupation number n_x be # of particles in E_x

Rather than considering Z_I for each particle
quantum statistics defines micro-states by summing
over n_x for each energy level E_x

\rightarrow no over-counting

What occupation #'s n_x are possible

Two possibilities (in 3d)

1) Bosons can have $n_x = 0, 1, 2, \dots$

(Higgs, photons, pions, He^4)

2) Fermions can have $n_x = 0$ or $n_x = 1$

(electrons, protons)

"Pauli exclusion principle" \rightarrow chemistry, life
(Feature of quantum physics, not repulsion)

Return to $N=2$ and $L=4$

How many micro-states for bosons?

11000	01010
10100	01001
10010	00101
10001	00110
01100	00011

10 w_i for fermions

20000
02000
00200
00020
00002

15 w_i for bosons

both different from non-sensical 12.5

Different allowed micro-states

→ different quantum statistics

For bosons (Bose-Einstein statistics)

Sum over energy levels E_α , then over n_α for each E_α

Single energy level E_0 with energy E_0 , occ. # n_0

Micro-state energy $E_i = N_i E_0 = n_0 E_0$

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g.c. part. func.

$$Z_g = \sum_{n_0=0}^{\infty} e^{-\beta(n_0 E_0 - \mu n_0)} = \sum_{n_0} \left(e^{-\beta(E_0 - \mu)} \right)^{n_0}$$

$$= \frac{1}{1 - e^{-\beta(E_0 - \mu)}}$$

Geometric series only converges for $e^{-\beta(E_0 - \mu)} < 1$

$$\beta > 0 \rightarrow E_0 - \mu > 0 \rightarrow E_{0\alpha} > \mu$$

($E_\alpha \geq 0$) ($\mu < 0$) ✓

Generalize to E_α $\alpha=0, 1, \dots, L$

$\{n_\alpha\}$ define micro-state w_i

Non-interacting particles: $E_i = \sum_\alpha n_\alpha E_\alpha$ $N_i = \sum_\alpha n_\alpha$

$$Z_g = \sum_{n_0} \sum_{n_1} \cdots \sum_{n_L} \exp \left[-\beta \sum_{\ell} (E_{\ell} - \mu) n_{\ell} \right] \quad \text{Factorizes}$$

$$= \left(\sum_{n_0} e^{-\beta(E_0 - \mu)n_0} \right) \left(\sum_{n_1} e^{-\beta(E_1 - \mu)n_1} \right) \cdots \left(\sum_{n_L} e^{-\beta(E_L - \mu)n_L} \right)$$

$$= \prod_{\ell} \frac{1}{1 - e^{-\beta(E_{\ell} - \mu)}}$$