

# Lattice supersymmetry in a nutshell

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## Goals of this informal and pedagogical introduction

- Write down supersymmetric lattice system  
(Four-dimensional  $\mathcal{N} = 4$  SYM — analogous lattice systems in 2d & 3d)
- Point out connections to staggered fermions
- Raise issue of potential sign problem,  
possible connections to complex Langevin and Lefschetz thimble methods
- **Main reference:** [arXiv:0903.4881](https://arxiv.org/abs/0903.4881)
- **Skip motivations:** Take it for granted that we care about lattice susy

## Supersymmetry and naive lattice obstacle

- **Supersymmetries extend Poincaré spacetime symmetry**
- **Lorentz:** Work in euclidean space  $\rightarrow$   $SO(d)_{\text{euc}}$  rotations  $\Lambda_{\mu\nu}$   
“Time” arbitrary – transfer matrix can be defined along any lattice vector
- **Poincaré:** Add spacetime translations  $P_\mu$  to (euclidean) Lorentz

$$\begin{aligned} [P_\mu, P_\nu] &= 0 & [P_\mu, \Lambda_{\rho\sigma}] &\propto \delta_{\mu\rho} P_\sigma - \delta_{\mu\sigma} P_\rho \\ [\Lambda_{\mu\nu}, \Lambda_{\rho\sigma}] &\propto \delta_{\mu\rho} \Lambda_{\nu\sigma} + \delta_{\nu\sigma} \Lambda_{\mu\rho} - \delta_{\mu\sigma} \Lambda_{\nu\rho} - \delta_{\nu\rho} \Lambda_{\mu\sigma} \end{aligned}$$

- **Supercharges:** Spinorial generators  $Q_\alpha^I$  and  $\bar{Q}_{\dot{\alpha}}^I$  with  $I = 1, \dots, \mathcal{N}$   
Transform under global  $SU(\mathcal{N})_R$  flavor symmetry (“R symmetry”)

$$\begin{aligned} \{Q_\alpha^I, Q_\beta^J\} &= 0 & \{Q_\alpha^I, \bar{Q}_{\dot{\alpha}}^J\} &= 2\delta^{IJ} \sigma_{\alpha\dot{\alpha}}^\mu P_\mu \\ [Q_\alpha^I, P_\mu] &= 0 & [Q_\alpha^I, \Lambda_{\mu\nu}] &\propto \frac{1}{4} [\gamma_\mu, \gamma_\nu]_\alpha^\beta Q_\beta^I \end{aligned}$$

- **Lattice:**  $P_\mu$  generates infinitesimal spacetime translations  
 $P_\mu$  does not exist in discrete spacetime  $\implies$  no supersymmetry protection,  
have to fine tune (typically many) relevant or marginal operators
- **Aside:** [Banks & Windey '82](#) tried using hamiltonian formulation  
to preserve  $\{Q_\alpha^I, \bar{Q}_{\dot{\alpha}}^J\} = 2\delta^{IJ} \sigma_{\alpha\dot{\alpha}}^0 H$   
Now fine-tuning required to recover Lorentz symmetry in continuum limit

## Kähler–Dirac selects $\mathcal{N} = 4$ SYM in 4d

- **Consider 4d:** Expand  $4 \times 4$  matrix of 16 supercharges in basis of  $\gamma$  matrices

$$\begin{pmatrix} Q_\alpha^1 & Q_\alpha^2 & Q_\alpha^3 & Q_\alpha^4 \\ \bar{Q}_{\dot{\alpha}}^1 & \bar{Q}_{\dot{\alpha}}^2 & \bar{Q}_{\dot{\alpha}}^3 & \bar{Q}_{\dot{\alpha}}^4 \end{pmatrix} = \mathcal{Q} + \mathcal{Q}_\mu \gamma_\mu + \mathcal{Q}_{\mu\nu} \gamma_\mu \gamma_\nu + \bar{\mathcal{Q}}_\mu \gamma_\mu \gamma_5 + \bar{\mathcal{Q}} \gamma_5$$

- **Observation:** Simple change of variables (in flat spacetime)  
that replaces spinors with anti-symmetric tensors
- **Key:** Susy subalgebra  $\{\mathcal{Q}, \mathcal{Q}\} = 2\mathcal{Q}^2 = 0$  can be preserved on lattice
- **Restriction:** Need at least  $2^d$  supercharges for expansion  
Only one possibility in 4d:  $\mathcal{N} = 4$  supersymmetric Yang–Mills (SYM)
- $\mathcal{N} = 4$  **SYM:** Restricting to spins  $\leq 1$  allows only single super-multiplet  
Contains the gauge field  $A_\mu$ , four fermions  $\Psi^I$  and six scalars  $\Phi^{IJ}$   
all in adjoint rep. of gauge group — typically  $SU(N)$

	State	Helicity	Flavor $SU(4)_R$
	$ \Omega_1\rangle$	1	<b>1</b>
	$Q_\alpha^I  \Omega_1\rangle$	1/2	<b>4</b>
	$Q_\beta^J Q_\alpha^I  \Omega_1\rangle$	0	<b>6</b>
	$Q_\gamma^K Q_\beta^J Q_\alpha^I  \Omega_1\rangle$	-1/2	<b><math>\bar{4}</math></b>
	$Q_\delta^L Q_\gamma^K Q_\beta^J Q_\alpha^I  \Omega_1\rangle$	-1	<b>1</b>

- **Conformal:**  $\beta = 0$  for all couplings (line of fixed points)

## Connection to (reduced) staggered fermions

- **Four fermions:** Majorana  $\Psi^I$  expand just like supercharges

$$\begin{pmatrix} \Psi^1 & \Psi^2 & \Psi^3 & \Psi^4 \end{pmatrix} \longrightarrow (\eta, \psi_\mu, \chi_{\mu\nu}, \bar{\psi}_\mu, \bar{\eta})$$

- **Observation:** Expansion mixes flavor symmetry (horizontal in matrix)  
and spacetime symmetry (vertical in matrix)  
Equivalent to (reduced) staggered fermions: [Banks, Dothan & Horn '82](#)  
More formal Kähler–Dirac foundation in [Rabin '82](#), [Becher & Joos '82](#)

## Topological twisting

- **More formally:** Matrix gives  $\text{SO}(4)_{\text{euc}} \otimes \text{SO}(4)_R$   
We're expanding in reps of "twisted rotation group"

$$\text{SO}(4)_{tw} \equiv \text{diag} \left[ \text{SO}(4)_{\text{euc}} \otimes \text{SO}(4)_R \right]$$

- **Complication:** Only have  $\text{SO}(4)_R \subset \text{SU}(4)_R \simeq \text{SO}(6)_R$   
 $\implies$  Scalar fields  $\Phi^{IJ} \longrightarrow (B_\mu, \phi, \bar{\phi})$
- **Solution:** Combine 4 + 6 bosons in complexified  $\mathcal{A}_a = (A_\mu, \phi) + i(B_\mu, \bar{\phi})$   
Similarly combine  $\psi_a = (\psi_\mu, \bar{\eta})$  and  $\chi_{ab} = (\chi_{\mu\nu}, \bar{\psi}_\mu)$
- **Question:** Could complexified gauge field be related to [\(hep-lat/0301028\)](#)  
complex Langevin or Lefschetz thimble approaches to sign problem?

## $A_4^*$ lattice and its $S_5$ point group symmetry

- $A_4^*$ : Contains five links symmetrically spanning four dimensions  
Four-dimensional analog of 2d triangular lattice  
Can obtain from dimensional reduction with symmetric constraint  $\sum_a \partial_a = 0$
- $S_5$  point group symmetry:  $S_5$  irreps match those of  $\text{SO}(4)_{tw}$   
Extracted by orthogonal matrix

$$P = \begin{pmatrix} \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} & 0 & 0 & 0 \\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{6}} & -\frac{2}{\sqrt{6}} & 0 & 0 \\ \frac{1}{\sqrt{12}} & \frac{1}{\sqrt{12}} & \frac{1}{\sqrt{12}} & -\frac{3}{\sqrt{12}} & 0 \\ \frac{1}{\sqrt{20}} & \frac{1}{\sqrt{20}} & \frac{1}{\sqrt{20}} & \frac{1}{\sqrt{20}} & -\frac{4}{\sqrt{20}} \\ \frac{1}{\sqrt{5}} & \frac{1}{\sqrt{5}} & \frac{1}{\sqrt{5}} & \frac{1}{\sqrt{5}} & \frac{1}{\sqrt{5}} \end{pmatrix}.$$

$$\implies \begin{pmatrix} \psi_\mu \\ \bar{\eta} \end{pmatrix} = P \begin{pmatrix} \psi_a \end{pmatrix} \quad \begin{pmatrix} \chi_{\mu\nu} \\ \bar{\psi}_\mu \end{pmatrix} = PP \begin{pmatrix} \chi_{ab} \end{pmatrix}$$

- **Scalar  $\mathcal{Q}$ :** Nilpotent ( $\mathcal{Q}^2 = 0$ ), exchanges bosons  $\longleftrightarrow$  fermions

$$\begin{aligned} \mathcal{Q} \mathcal{U}_a &= \psi_a & \mathcal{Q} \psi_a &= 0 \\ \mathcal{Q} \chi_{ab} &= -\bar{\mathcal{F}}_{ab} & \mathcal{Q} \bar{\mathcal{U}}_a &= 0 \\ \mathcal{Q} \eta &= d & \mathcal{Q} d &= 0 \end{aligned}$$

$d$  is bosonic auxiliary field, with standard e.o.m.  $d = \bar{\mathcal{D}}_a \mathcal{U}_a$

## Sign problem

- **Phase reweighting:** Allows importance sampling Monte Carlo using real non-negative Boltzmann factor  $|\text{pf } \mathcal{D}|e^{-S_B}$

$$\begin{aligned}\langle \mathcal{O} \rangle &= \frac{1}{Z} \int [d\mathcal{U}_a][d\bar{\mathcal{U}}_a][d\Psi] \mathcal{O} e^{-S_B[\mathcal{U}_a, \bar{\mathcal{U}}_a] - \Psi^T \mathcal{D}[\mathcal{U}_a, \bar{\mathcal{U}}_a] \Psi} \\ &= \frac{1}{Z} \int [d\mathcal{U}_a][d\bar{\mathcal{U}}_a] \mathcal{O} e^{i\alpha} |\text{pf } \mathcal{D}| e^{-S_B} \\ &= \frac{\langle \mathcal{O} e^{i\alpha} \rangle_{pq}}{\langle e^{i\alpha} \rangle_{pq}}\end{aligned}$$

- **Sign problem:** When the phase  $\alpha$  fluctuates so much that  $\langle e^{i\alpha} \rangle_{pq}$  is consistent with zero
- **Numerical results:** Phase fluctuations strangely sensitive to temporal BCs  $e^{i\alpha} \approx 1$  with anti-periodic BCs,  $\langle e^{i\alpha} \rangle_{pq} \approx 0$  with periodic BCs  
Even more strangely, other observables change little for different BCs

## Lattice action

- **Twisted action:**  $S$  is manifestly  $\mathcal{Q}$ -supersymmetric

$$S = \frac{N}{2\lambda_{\text{lat}}} \mathcal{Q} \left( \chi_{ab} \mathcal{F}_{ab} + \eta \bar{\mathcal{D}}_a \mathcal{A}_a - \frac{1}{2} \eta d \right) - \frac{N}{8\lambda_{\text{lat}}} \epsilon_{abcde} \chi_{ab} \bar{\mathcal{D}}_c \chi_{de}$$

$\mathcal{Q}S = 0$  follows from  $\mathcal{Q}^2 \cdot = 0$  and Bianchi identity  $\epsilon_{abcde} \bar{\mathcal{D}}_c \bar{\mathcal{F}}_{de} = 0$

- **Expand:** Apply  $\mathcal{Q}$  and integrate out auxiliary field  $d$ :

$$\begin{aligned}S &= \frac{N}{2\lambda_{\text{lat}}} \left[ -\bar{\mathcal{F}}_{ab} \mathcal{F}_{ab} + \frac{1}{2} (\bar{\mathcal{D}}_a \mathcal{U}_a)^2 - \chi_{ab} \mathcal{D}_{[a} \psi_{b]} - \eta \bar{\mathcal{D}}_a \psi_a \right] \\ &\quad - \frac{N}{8\lambda_{\text{lat}}} \epsilon_{abcde} \chi_{ab} \bar{\mathcal{D}}_c \chi_{de}\end{aligned}$$

## Numerical complications

- **Non-compact links live in algebra:**  $\mathcal{Q} \mathcal{U}_a = \psi_a \implies \mathcal{U}_a \in \mathfrak{gl}(N, \mathbb{C})$   
Flat measure in path integral is gauge invariant due to complexification  
Need  $\mathcal{U}_a = \frac{1}{a} \mathbb{I}_N + \mathcal{A}_a + \mathcal{O}(a^2)$  in continuum limit,  
stabilized by scalar potential  $\sum_a \left( \frac{1}{N} \text{Tr} [\mathcal{U}_a \bar{\mathcal{U}}_a] - 1 \right)^2$
- **U(N) gauge invariance:** Due to complexified links  
 $U(N) = SU(N) \otimes U(1)$  but U(1) only decouples in continuum
- **Flat directions:** Those in U(1) sector seem especially problematic  
Include all constant U(1) shifts of  $x$ -independent fields, even if  $S \neq 0$   
SU(N) flat directions restricted to supersymmetric vacua with  $S = 0$
- **Lifting:** Scalar potential lifts SU(N) flat directions but softly breaks susy  
Plaquette determinant lifts U(1) flat directions,  
can be implemented supersymmetrically ([1505.03135](#))  
Modify e.o.m. for auxiliary field  $d = \bar{\mathcal{D}}_a \mathcal{U}_a + 2G \text{Re} \sum_{a < b} (\det \mathcal{P}_{ab} - 1) \mathbb{I}_N$

## PS: Motivations / context for lattice supersymmetry

- **BSM:** Supersymmetry most familiar as ingredient in new physics models  
Relies on (dynamical) spontaneous supersymmetry breaking  $\longrightarrow$  lattice
- **Symmetries:** Simplify analytic calculations, allowing insight into  
confinement, dynamical symmetry breaking, conformality, ...  
Lattice is new non-perturbative method to confirm / refine / extend insights
- **Dualities:** As in spin systems (e.g., Kramers & Wannier on 2d Ising),  
theories with different fields & interactions produce identical physics  
Relate “electric” & “magnetic” gauge theories — Seiberg duality  
Relate gauge & gravity theories — AdS/CFT duality or “holography”  
Method: Conjecture & check (exploiting susy), may be extended by lattice
- **Modelling:** Attempts to study everything from QCD at finite density  
to non-Fermi liquids based on AdS/CFT holography  
Lattice could provide new input to these efforts — validate or refine